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30636 7590 07/27/2007

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10/29/2007 FMEK12 00000030 09680923

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Michael J. Marcini, Esq. (Depositor's name)
 (Signature)
 October 26, 2007 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/680,923	10/06/2000	Steven Bechhofer	40108/00101	3044

TITLE OF INVENTION: SYSTEM AND METHOD FOR MANAGING RISK AND OPPORTUNITY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$0	\$1400 \$1440	10/29/2007
EXAMINER	ART UNIT	CLASS-SUBCLASS				
MEINECKE DIAZ, SUSANNA M	3694	705-007000				

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

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(A) NAME OF ASSIGNEE

Qimonda AG

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Neubiberg, Germany

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

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- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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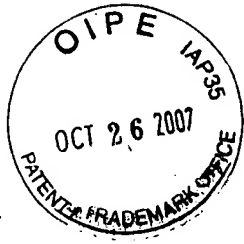
Date October 26, 2007

Typed or printed name

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FACSIMILE COVER SHEET

FAX NO : (571) 273-2885

TO : Commissioner for Patents
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FROM : Michael J. Marcin, Esq. of Fay Kaplun & Marcin, LLP

DATE : October 26, 2007

SUBJECT : U.S. Patent Appln. Serial No. 09/680,923
for *System and Method for Managing Risk and Opportunity*
Our Ref.: 40108/00101

NUMBER OF PAGES INCLUDING COVER : 3

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